

## **Appendix A:**

### **Listing of Event Types**

- **Abuse**
  - Alleged Abuse by Family, Friend or Other (UIR required for DCF)
  - Alleged Abuse by Staff
    - Alleged Abuse by Staff: Physical to consumer/no injury (AB110-UIR required for DCF, DMHAS)
    - Alleged Abuse by Staff: Physical to consumer/minor injury (AB112-UIR required for DCF, DMHAS)
    - Alleged Abuse by Staff: Physical to consumer-moderate (AB114-UIR required for DCF, DMHAS)
    - Alleged Abuse by Staff Physical to consumer-major injury (AB116-UIR required for DCF, DMHAS)
    - Alleged Abuse by Staff: Sexual contact with consumer (AB410-UIR required DCF, DMHAS)
    - Alleged Abuse by Staff: Sexual contact with consumer-penetration/genital contact/oral sex (AB420-UIR required DCF, DMHAS)
    - Alleged Abuse by Staff: Verbal/psychological mistreatment (AB310-UIR required DCF, DMHAS)
    - Alleged Abuse by Staff in Other Organizations (UIR required for DCF, DMHAS)
  - Alleged Emotional Abuse by Staff
  - Duty to Warn / Report Child Abuse or Neglect
    - Duty to Warn / Report Child Abuse or Neglect: Current
    - Duty to Warn / Report Child Abuse or Neglect: Historical
- **Adverse Behavior**
  - Misconduct/behavioral problems
  - Physical Aggression
  - Suspected drug/alcohol use
  - Confirmed drug/alcohol use
  - Verbal Aggression
  - Threat
    - Threat (verbal or or physical) made by youth
    - Threat (verbal or or physical) made to youth
  - Firesetting
  - Theft by consumer
  - Other
- **Assault**
  - Physical Assault - Consumer to Consumer
    - Physical-consumer to consumer/no or minor injury
    - Physical-consumer to consumer/moderate injury (AS114-UIR required DCF, DMHAS)
    - Physical-consumer to consumer/major injury (AS116-UIR required DCF, DMHAS)
  - Physical Assault - Consumer to Other

- Physical-consumer to other/no or minor injury (Report ONLY if occurs at agency or in front of staff)
  - Physical-consumer to other/moderate injury (AS134-UIR required DCF, DMHAS)
  - Physical-consumer to other/major injury (AS136-UIR required DCF, DMHAS)
- Physical Assault - Consumer to Staff
  - Physical-consumer to staff/ no or minor injury
  - Physical-consumer to staff/moderate injury (AS124-UIR required DCF, DMHAS)
  - Physical-consumer to staff/major injury (AS126-UIR required DCF, DMHAS)
- Physical Assault - Other to Consumer
  - Physical-other to consumer/no or minor injury (Report ONLY if occurs at agency or in front of staff)
  - Physical-other to consumer/moderate injury (AS314-UIR required DCF, DMHAS)
  - Physical-other to consumer/major injury (AS316-UIR required DCF, DMHAS)
- **Breach of Confidentiality**
  - Verbal Disclosure
  - File/Record Security
  - Electronic Transmission
  - External Breach to CFS Data
- **Contraband**
  - Alcohol
    - Alcohol-consumer possession/Use (CN110-UIR required DMHAS)
    - Alcohol-found on premises
    - Alcohol-staff possession/Use (CN210-UIR required DMHAS) \*Contact HR
  - Cigarettes/Tobacco
    - Cigarettes/Tobacco - Consumer possession/use (Residential Programs)
    - Cigarettes/Tobacco - found on premises (Residential Programs)
  - Drugs
    - Drugs-consumer possession/Use (CN120-UIR required DMHAS)  
\*Residential Search and Seizure form needs to be completed and uploaded
    - Drugs-found on premises \*Residential Search and Seizure form needs to be completed and uploaded
    - Drugs-staff possession/Use (CN220-UIR required DMHAS) \*contact HR
  - Lighters
    - Lighters-consumer use/possession (Residential Programs)
    - Lighters found on premises (Residential Programs)
  - Pornography
    - Pornography - consumer possession (Residential Programs)
    - Pornography-found on premises (Residential Programs)
  - Unauthorized Electronics
    - Unauthorized-Electronics consumer possession/use (Residential Programs)

- Unauthorized-Electronics found on premises (Residential Programs)
  - Unauthorized Items (Other)
    - Unauthorized Items (Other)
  - Weapon
    - Weapon-consumer possession (CN310-UIR required DMHAS)
    - Weapon-found on premises
    - Weapon-staff possession (CN310-UIR required DMHAS)
- **Criminal Activity**
  - Alleged criminal activity of consumer, staff and/or other on or off site (CR400-UIR required DCF, DMHAS)
  - Identify theft-consumer victim or perpetrator (CR420-UIR required DMHAS)
  - Identity theft-staff victim or perpetrator (CR410-UIR required DMHAS)
- **Death**
  - Expected-medical condition/disability/illness (DT110-UIR required DCF, DMHAS)
  - Unexpected
    - Unexpected-Accidental (DT320-UIR required DCF, DMHAS)
    - Unexpected-Homicide (DT330-UIR required DCF, DMHAS)
    - Unexpected-Possible Overdose (DT320-UIR required DCF, DMHAS)
    - Unexpected-sudden (i.e. choking/medical incident) (DT210-UIR required DCF, DMHAS)
    - Unexpected-Suicide (DT310-UIR required DCF, DMHAS)
    - Unexpected-Undetermined Manner (DT410-UIR required DCF, DMHAS)
- **Elopement/Walkaway/Missing Person**
  - Elopement
    - Elopement - Criminally involved-parole/probation/KROL/sex offender (EL110-UIR required DCF, DMHAS)
    - Elopement - No criminal status-high risk (serious medical condition, disability, acute danger to self or others (EL150-UIR required DCF)
    - Elopement - No criminal status-missing for < 2 hours
    - Elopement - No criminal status-missing for 6-12 hours (EL130-UIR required DCF)
    - Elopement - No criminal status-missing for 12+ hours (EL140-UIR required DCF)
    - Elopement - Criminal status from screening center (EL120-UIR required if not returned w/in 2 hrs UIR required DMHAS)
  - Walkaway
    - Walkaway - No criminal status-at risk (under 18; 60+) (WK310-UIR required DCF & DMHAS)
    - Walkaway - No criminal status-at risk (disability/medical) (WK320-UIR required DCF & DMHAS)
    - Walkaway - No criminal status-at risk (danger to self or others) (WK410-UIR required DCF & DMHAS)
    - Walkaway - No Criminal status - not at risk
    - Missed Curfew
- **Facility/Operational**
  - Alarm

- Fire Alarm Activated (No Fire)
  - Security Alarm activated ( Accident)
  - Security Alarm Activated
- Property Damage
  - Accidental property damage-under \$500
  - Accidental property damage-\$500 or more (OP715-UIR required & DMHAS)
  - Willful property damage-\$500 or more (OP725-UIR required DCF, DMHAS)
  - Willful property damage-under \$500 or unknown
- Vandalism
- Bedbugs
- Environmental incidents (spills, illegal dumping) (OP310-UIR required DMHAS)
- Equipment Malfunction
- Loss of agency equipment
- Loss-consumer funds or property-\$200 or more (OP745-UIR required DMHAS)
- Mass Disturbances involving 5 or more (OP520-UIR required DCF, DMHAS)
- Operational Breakdown-Utility outage/loss of equipment may cause threat to life/safety/impact facility operations (OP510-UIR required DCF, DMHAS)
- Fire
  - Operational-fire/minor-extinguished by staff (OP410-UIR required DMHAS)
  - Operational-fire/moderate-extinguished with outside assistance (OP420-UIR required DMHAS)
  - Operational-fire/major resulting in damage and/or injuries (OP430-UIR required DCF, DMHAS)
- Operational-Change in operations requires report to state
- Public Safety Issue-immediate threat to life/safety (natural disaster, hostage, terrorist threats) (OP530-DCF, DMHAS)
- Office / Facility Safety Issue
- Theft
  - Theft of agency property
  - Theft of staff property
  - Theft-consumer funds or property-\$200 or more (OP740-UIR required DMHAS)
  - Theft-consumer funds or property-under \$200
- Trespassing/Unauthorized Access
- Unexpected staff shortage/threat to life/safety/operation (OP540-UIR required DCF, DMHAS)
- Media Interest-When no other incident category applies (OP590-UIR required DCF, DMHAS)
- **Injury**
  - Accidental minor/Unknown Origin minor
  - Accidental moderate, including accidental overdose (If consumer IN114-UIR required DCF, DMHAS)
  - Accidental major, including accidental overdose (If consumer IN116-UIR required DCF, DMHAS)
  - Bathing/Scalding Related Injuries
  - Burns - Other

- Fall
- Fall - Seizure Related
  - Fall-seizure related moderate (IN124-UIR required DMHAS)
  - Fall-seizure related major (IN126-UIR required DMHAS)
- Non work related occurring onsite-Staff Major Injury (IN436-UIR required DCF, DMHAS)
- PICA Ingestion
  - PICA ingestion-moderate injury (IN224-UIR required DMHAS)
  - PICA ingestion-major injury (IN226-UIR required DMHAS)
- Restraint Related Injury
  - Restraint related-major (IN516-UIR required DCF, DMHAS)
  - Restraint related-minor (IN510-UIR required DCF, DMHAS)
  - Restraint related-moderate (IN514-UIR required DCF, DMHAS)
- Self Injurious Behavior
  - Self injurious behavior minor injury
  - Self-injurious behavior moderate injury (IN214-UIR required DMHAS)
  - Self-injurious behavior major injury (IN216-UIR required DCF (for youth requires hospitalization), DMHAS)
- Unknown Origin
  - Unknown origin-moderate injury (IN314-UIR required DMHAS)
  - Unknown origin-major injury (IN316-UIR required DCF, DMHAS)
- Work related-Staff Major Injury (IN426-UIR required DMHAS)
- **Medical**
  - Bodily Fluid Contact
  - Choking/Swallowing
    - Choking/swallowing-moderate injury (MD314-UIR required DMHAS)
    - Choking/swallowing-major injury (MD316-UIR required DMHAS)
  - Illness/Injury
    - Disease illness-communicable with operational impact (MD120-UIR required DCF, DMHAS)
    - Minor injury requiring First Aid
    - Moderate Illness/Injury (medical attention required by physician or urgent care)
    - Illness/Injury requiring emergency medical care
    - Pre-existing medical condition requiring further evaluation
    - Unplanned Hospital Admission (MD520-UIR required DMHAS A+)
  - COVID-19
    - Exposure/Quarantine
    - Positive Diagnosis of COVID 19
    - Refusal to Quarantine
  - Drug Overdose
  - Other
- **Medication**
  - Adverse Drug Reaction (IN114-accidental moderate) If minor, not reportable
  - Found Unidentified Medication
  - MAR Error

- Medication Error
  - Medication Error (medical Attention NOT required)
  - Medication Error-serious effect including medical intervention (MD220-UIR required DCF, DMHAS)
  - Medication/Treatment Error      Medication/Treatment error with potential for serious effect (MD212-UIR required DCF, DMHAS)
  - Medication/Treatment error resulting in serious effect requiring medical intervention (MD220-UIR required DCF, DMHAS)
- Missed Dosage (NE211-no injury-UIR may be required if multiple missed doses-DCF, DMHAS)
- Missing Medication
  - Missing Medication – CDS (MD410-UIR required DCF, DMHAS)
  - Missing Medication - Non-CDS
- Medication Refusal
- Other
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- **Neglect**
  - Neglect by Staff
    - Neglect by Staff No injury (NE211-UIR required DCF, DMHAS)
    - Neglect by Staff Minor injury (NE212-UIR required DCF, DMHAS)
    - Neglect by Staff Moderate injury (NE214-UIR required DCF, DMHAS)
    - Neglect by Staff Major injury (NE216-UIR required DCF, DMHAS)
  - Neglect by family/caretakers-No injury (NE211-UIR required DCF)
  - Neglect by Staff at Other Organizations (UIR Required DCF, DMHAS)
- **Professional Misconduct (use only if reportable to the state)**
- **Psychiatric Emergency**
  - Crisis screening due to danger to self or others
  - Admission to inpatient Psychiatric Unit
- **Restraint**
  - Approved Hold
  - Unapproved restraint (RE111-UIR required DCF, DMHAS)
- **Rights Violation**
  - Depriving person served of human or civil rights (privacy violations, breach of confidentiality) (RG110 required DMHAS)
- **Sexual Contact/Conduct**
  - Sexual Conduct-inappropriate (no physical contact) (SX400-UIR required DCF)
  - Sexual Contact-consumer to consumer (for adults nonconsensual) (SX110-UIR required DCF, , DMHAS)
  - Sexual Contact-consumer to other (for adults nonconsensual) (SX120-UIR required DCF, DMHAS)
  - Sexual Contact-consumer to staff (SX120-UIR required DCF, DMHAS)
  - Sexual Contact-other to consumer (for adults nonconsensual) (SX310-UIR required DMHAS)
  - Sexual Assault-consumer involvement (SA200-UIR required DCF, DMHAS)
- **Suicide/Homicidal Threat or Suicide Attempt**
  - Homicidal Threats/Verbalization

- Homicidal Threats/Verbalization
  - Homicidal Threat Duty to Warn: Intended Victim/Parent Notified
  - Homicidal Threat Duty to Warn: Police Notified
- Homicidal Ideations
  - Homicidal Ideations - No Plan
  - Homicidal Ideations with Plan
- Homicidal Attempts/Actions
  - Homicidal Attempts/Actions- no injury
  - Homicidal Attempts/Actions - minor injury
  - Homicidal Attempts/Actions - moderate injury
  - Homicidal Attempts/Actions - major injury
- Suicide Threat
- Suicidal Ideations
  - Suicidal Ideations No Plan
  - Suicidal Ideations with Plan
- Suicide Attempt
  - Suicide Attempt-no injury (SU113-UIR required DMHAS)
  - Suicide Attempt-minor injury (SU113-UIR required DCF )
  - Suicide Attempt-moderate injury (SU114-UIR required DCF, DMHAS)
  - Suicide Attempt-Major injury (SU116-UIR required DCF, DMHAS)
- **Vehicle**
  - Agency Vehicle Accident
    - Agency Vehicle Accident ( Minor damage - vehicle can be driven) Person Served in Vehicle
    - Agency Vehicle Accident ( Major- Vehicle is not driveable) Person Served in Vehicle
  - Agency Vehicle Breakdown Person Served in Vehicle
  - Agency Vehicle Damage by Person Served
  - Agency Vehicle Theft by Person Served
  - Staff Vehicle Damage by Person Served
  - Staff Vehicle Theft by Person Served
  - Other Vehicle Incident
- **Other**

## **Appendix B**

### **Definitions**

- **Physical Aggression** – behavior causing or threatening physical harm towards others. This can include but is not limited to hitting, kicking, pushing, or throwing items at someone.
- **Verbal Aggression** – is a verbal assault on another person. This can include but is not limited to cursing, yelling, and screaming.
- **Sexual Boundary** – breaking sexual boundaries with another. This includes touching, kissing, sexual comments or innuendos, and receiving sexual emails or text messages.
- **Sexual Abuse** – Unwanted sexual contact by one person on another.
- **Theft by consumer** – The taking or removing of personal property by a consumer with intent to deprive the rightful owner.
- **Theft of consumer property** – Taking of property belonging to a person served without permission
- **Threat (verbal or emotional) made by consumer** – A statement made by a consumer with the intent to cause harm to another.
- **Threat (verbal or emotional) made to consumer** – A statement made to a consumer with intent to cause harm to the youth.
- **Physical Abuse** – Use of physical force to cause physical harm or pain by a person who is in a position of authority over another, such as a counselor causing physical harm to a youth or a teacher causing physical harm to a student.
- **Verbal or Emotional Abuse** – Use of words to attack or injure an individual by a person who is in a position of authority over another, such as a counselor causing emotional harm to a youth or a teacher causing emotional harm to a student.
- **Smoking** – Use or inhalation of tobacco such as cigarettes, cigars, or a pipe. This includes the use of electronic cigarettes. *(for example, for a youth in our residential programs or if a consumer is smoking inside a smoke-free building)*
- **Fire Setting** – creating or using a flame for any reason other than to prepare a meal.